U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. AVR-005 UTILITY First Inventor Jeffrey P. Gilbard PATENT APPLICATION EPA AND DHA ENRICHED OMEGA-3 SUPPLEMENT FOR THE TREATMENT OF DRY TRANSMITTAL EYE, MEIBOMIANITIS AND XEROSTOMIA (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EV 354 227 482 US MS Patent Application **APPLICATION ELEMENTS** Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents Alexandria, VA 22313-1450 CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission 8. X See 37 CFR 1.27. (if applicable, all necessary) 3. Specification Computer Readable Form (CRF) Х [Total Pages (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the invention Cross Reference to Related Applications CD-ROM or CD-R (2 copies); or Statement Regarding Fed sponsored R & D Reference to sequence listing, a table Statements verifying identity of above copies or a computer program listing appendix Background of the Invention ACCOMPANYING APPLICATIONS PARTS - Brief Summary of the Invention - Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & document(s)) Detailed Description 37 CFR 3.73(b) Statement Power of 10. Claim(s) Abstract of the Disclosure (when there is an assignee) Attorney English Translation Document (if applicable) 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 11 Information Disclosure Copies of IDS 5. Oath or Declaration Total Sheets 12. Statement (IDS)/PTO-1449 13. Newly executed (original or copy) Preliminary Amendment Copy from a prior application (37 CFR 1.63(d)) Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) Certified Copy of Priority Document(s) 15. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). inventor(s) named in the prior application, 16. Applicant must attach form PTO/SB/35 or its equivalent see 37 CFR 1.63(d)(2) and 1.33(b). 17 Other: Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) of prior application No.: Continuation Divisional Prior application information: Examiner Art Unit: For CONTINUATION or DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 00959 Customer Number or Bar Code Label or X Correspondence address below LAHIVE & COCKFIELD, LLP Name Ralph A. Loren 28 State Street Address Zip Code | 02109 City **Boston** State MA (617) 227-7400 (617) 742-4214 US Telephone Fax Country Name (Print/Type) Ralph A. Loren Registration No. (Attorney/Agent) 29,325 July 7, 2003 Date Signature I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 354 227 482 US, in an envelope addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

the date shown below.

Dated: July 7, 2003

Signature:

(Ralph A. Loren)

PTO/SB/17 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
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FEE TRANSMITTAL		Applic	ation	Numb	er Not Yet Assigned
for EV 2002		Filing	Date		Concurrently Herewith
for FY 2003				d Inver	
Effective 01/01/2003, Patent fees are subject to annual revision.	<del></del>		iner Na		Not Yet Assigned
X Applicant claims small entity status. See 37 CFR 1.27		Art Ur	nit		N/A
TOTAL AMOUNT OF PAYMENT (\$) 630.00		Attom	ey Do	cket No	o. AVR-005
METHOD OF PAYMENT (check all that apply)	ī			FEE	E CALCULATION (continued)
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Deposit Account Lahive & Cockfield, LLP	1051	130	2051	65	Surcharge – late filing fee or oath
Name The Director is hereby authorized to: (check all that apply)	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English specification
X Charge any additional fee(s) during the pendency of this application	1812	2,520	1812	2,520	For filing a request for ex parte reexamination
Charge fee(s) indicated below, except for the filing fee	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Decree de la contraction de CID effect
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month
1. BASIC FILING FEE	1252	410	2252	205	Extension for reply within second month
Large Entity Small Entity	1253	930	2253	465	Extension for reply within third month
Fee Fee Fee Fee Fee Description Fee Paid  Code (\$) Code (\$)	1254	1,450	2254	725	Extension for reply within fourth month
1001 750 2001 375 Utility filing fee 375.00	1255	1,970	2255	985	Extension for reply within fifth month
1002 330 2002 165 Design filing fee	1401		2401	160	Notice of Appeal
1003 520 2003 260 Plant filing fee	1402		2402	160	Filing a brief in support of an appeal
1004 750 2004 375 Reissue filing fee	1403		2403	140	Request for oral hearing
1005 160 2005 80 Provisional filing fee	1451 1452		1451 2452	55	Petition to institute a public use proceeding Petition to revive – unavoidable
SUBTOTAL (1) (\$) 375.00	1453		2453	650	Petition to revive - unintentional
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501		2501	650	Utility issue fee (or reissue)
Extra Fee from	1502		2502	235	Design issue fee
Claims below Fee Paid  Total Claims 42 20** = 22 x 9.00 = 198.00	1503		2503	315	Plant issue fee
Independent 5 -3** = 2 x 42.00 = 84.00	1460		1460	130	Petitions to the Commissioner
Claims SALE CLAIMS AUITINE Dependent	1807		1807	50	Processing fee under 37 CFR 1.17(q)
	1806		1806		Submission of Information Disclosure Stmt
Large Entity Small Entity Fee					Recording each patent assignment per
Code (\$) Code (\$) Fee Description  1202 18 2202 9 Claims in excess of 20	8021		8021	40	property (times number of properties) Filing a submission after final rejection
1201 84 2201 42 Independent claims in excess of 3	1809		2809	375	(37 CFR 1.129(a)) For each additional invention to be
1203 .280 2203 140 Multiple dependent claim, if not paid	1810		2810	375	examined (37CFR 1.129(b))
1204 84 2204 42 ** Reissue independent claims over original patent	1801		2801	375	Request for Continued Examination (RCE) Request for expedited examination
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802		1802	900	of a design application
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SUBTOTAL (2) (\$) 282.00 **or number previously paid, if greater, For Reissues, see above	*Red	uced by 8	Basic Fi	iling Fee	e Paid SUBTOTAL (3) (\$) 0.00
	<u> </u>				Complete (if applicable)
SUBMITTED BY  Name (Print/Type) Ralph A. Loren		tration No		,325	Complete (if applicable)  Telephone (617) 227-7400
11/6/	(Attorr	ney/Agent,	29	,,,,,	
Signature					Date July 7, 2003

I nereby certify that this correst	onaence is being aepositea wit	n the U.S. Postal Service a	s Express Maii, Airbiii No.	EV 354 227 482
US, in an envelope addressed	to: MS Patent Application, Com	missioner for Patents, P.O.	. Box 1450, Alexandria, V	A 22313-1450, on
the date shown below.	1117			
	1800	<u>~</u>		
Dated: July 7, 2003	Signature:	(F	Ralph A. Loren)	

Application No. (if known):	Attorney Docket No.: AVR-005

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on	July 7, 2003
	Date

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Signature	,
Ralph A. Loren	
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Application Data Sheet